

Photo-emission signatures of coherence breakdown in Kondo alloys

Bishal Poudel

LOMA, CNRS, Bordeaux, France

Supervisors : Sébastien Burdin and Gertrud Zwicknagl

In collaboration with Claudine Lacroix

GDR MEETICC

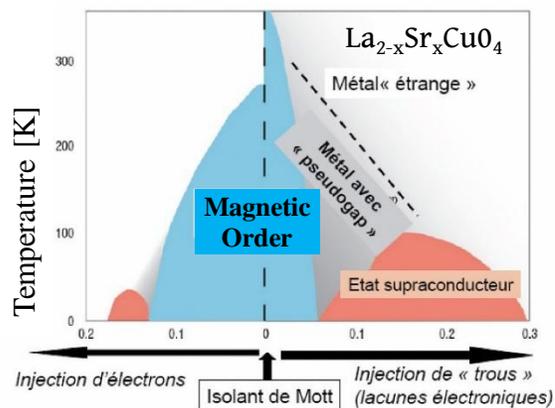
April 2, 2021

Outlines :

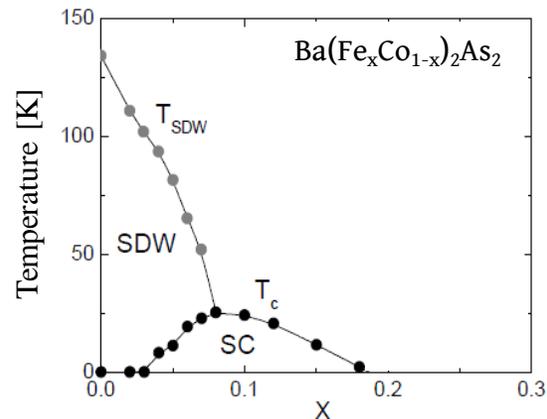
1. **General Motivations**
2. **Introduction to Kondo alloys**
3. **Results and predictions**
 - ARPES signatures
 - Kondo alloys phase diagrams
4. **Conclusions**

Examples of quantum phase transitions induced by interactions

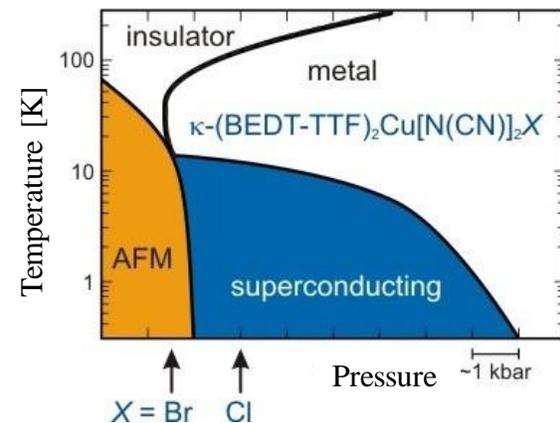
Cuprates



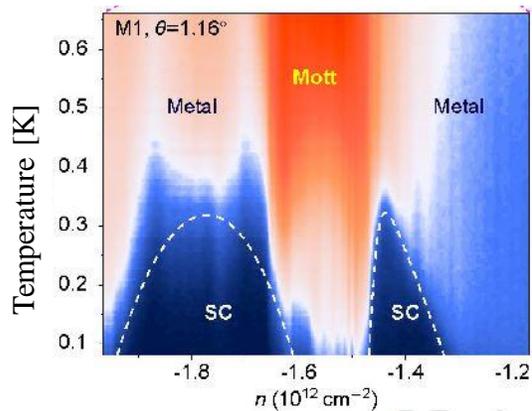
Pnictides



Organics

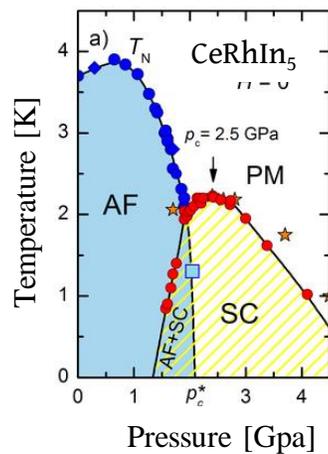


Graphene superlattices

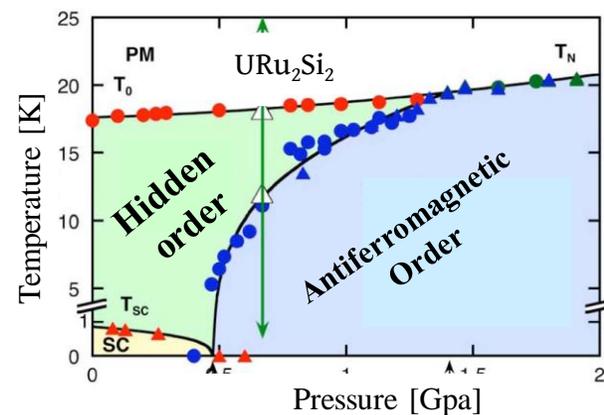


Doping

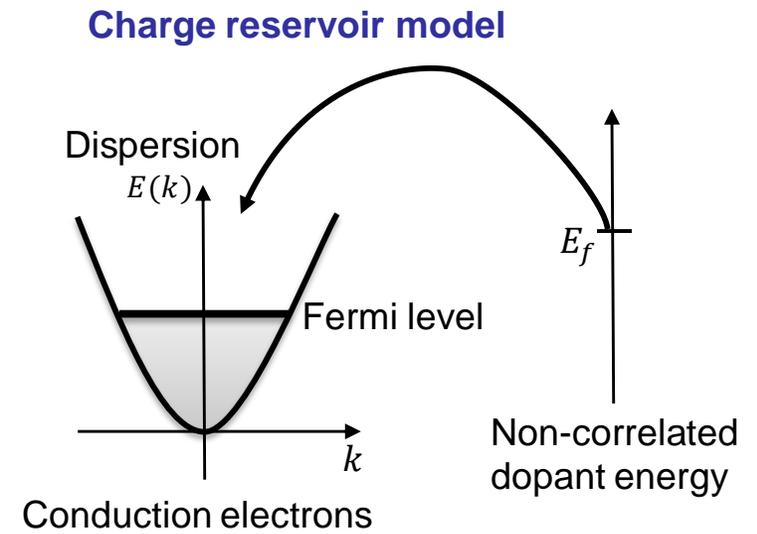
Rare earths



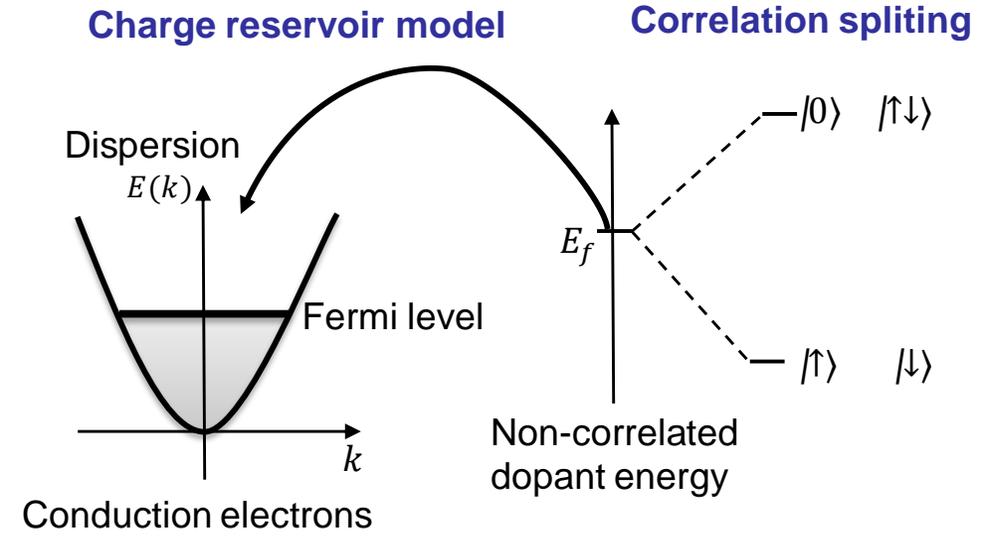
Actinides



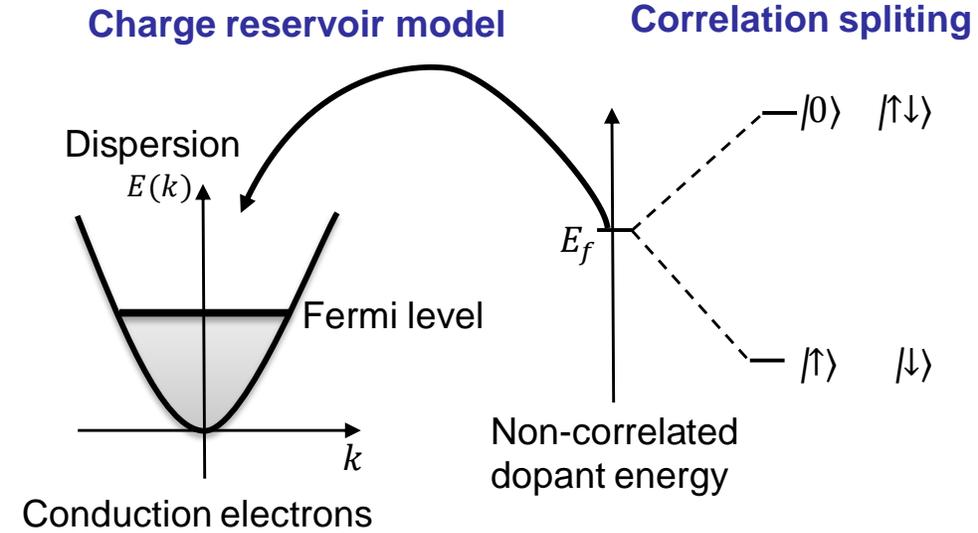
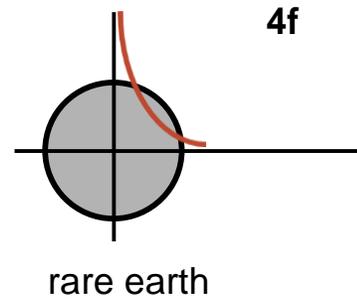
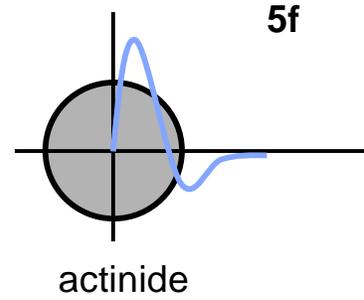
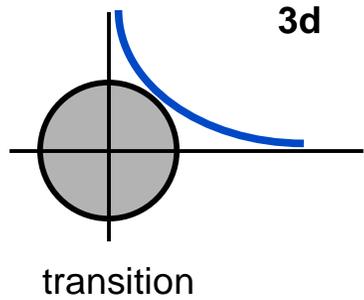
Doping with f -orbitals in Ce or Yb based compounds



Doping with f -orbitals in Ce or Yb based compounds

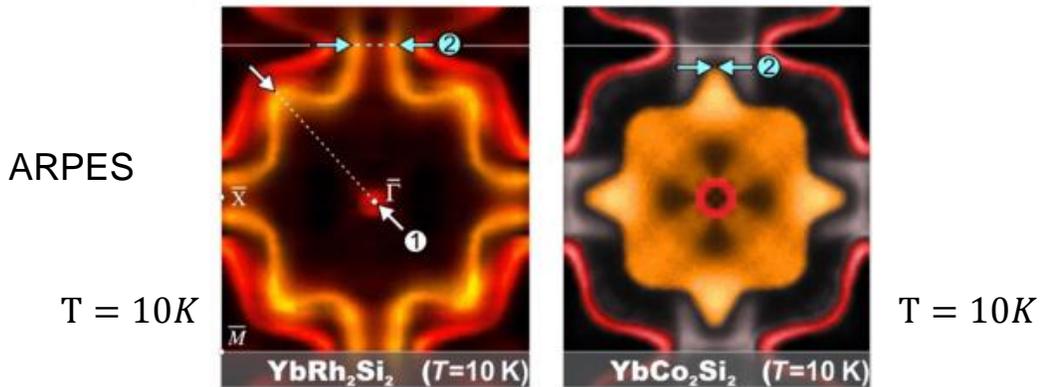
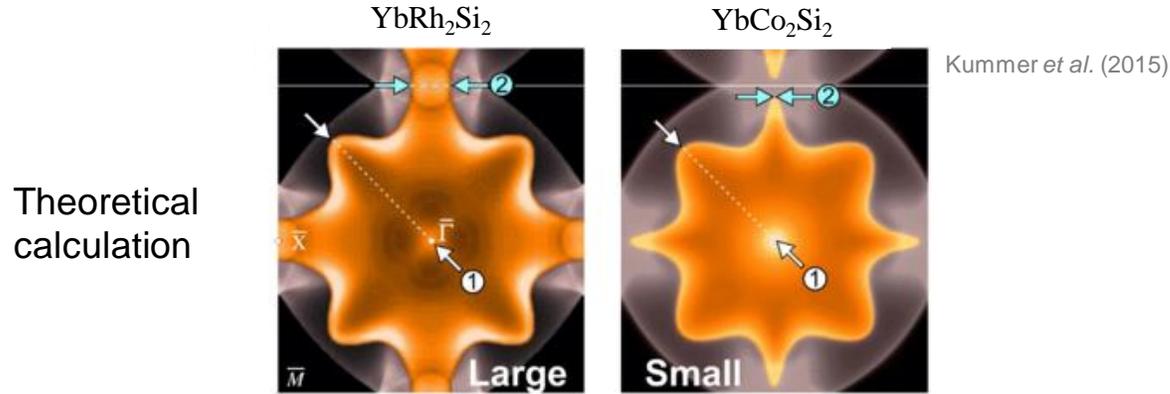


Doping with *f*-orbitals in Ce or Yb based compounds



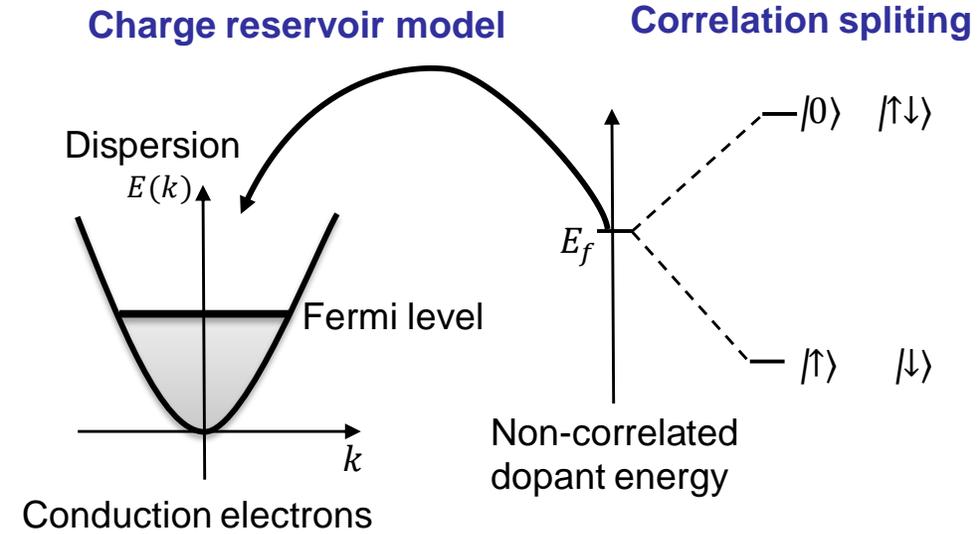
- ***f*-electrons orbitals are a priori localized and their valences are fixed**
- **But they interact with sea of conduction electrons**

Doping with f -orbitals in Ce or Yb based compounds



Doping from f -electrons
Enlarged Fermi surface

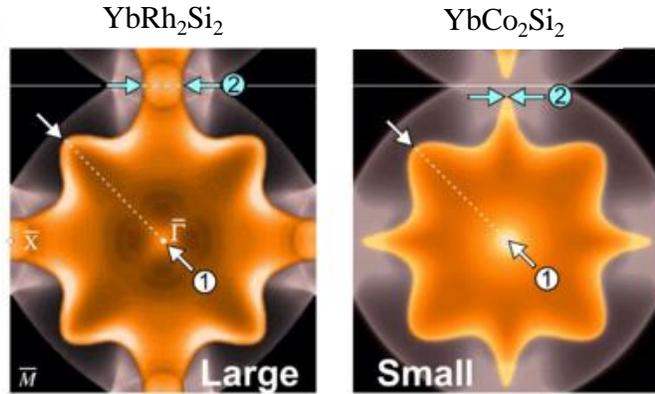
No doping from f -electrons
Small Fermi surface



- one rare-earth atom per site
- Yb valency: $4f^{13}$

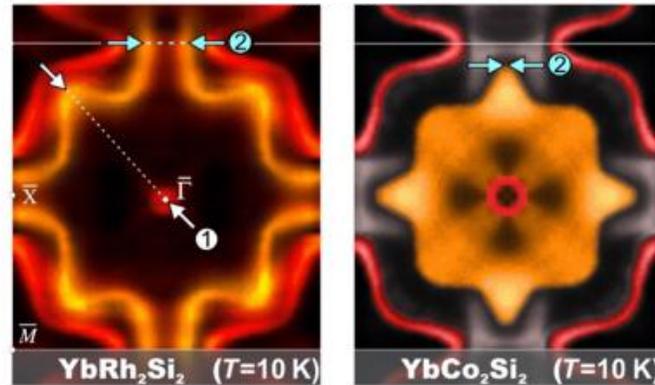
Doping with f -orbitals in Ce or Yb based compounds

Theoretical calculation



Kummer *et al.* (2015)

ARPES



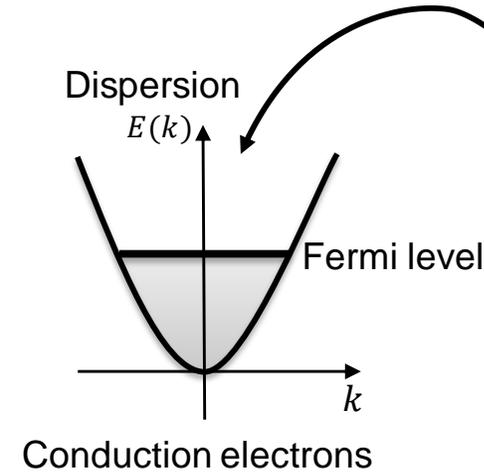
$T_{Kondo} = 25K < T = 10K$

$T = 10K > T_{Kondo} = 1K$

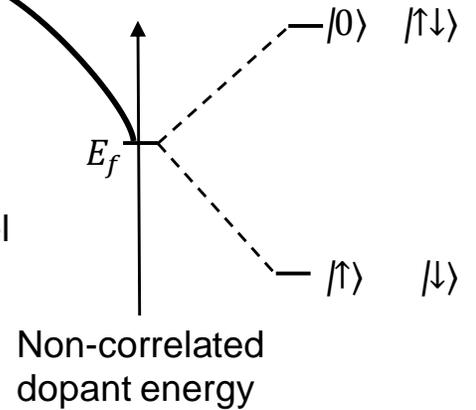
Doping from f -electrons
Enlarged Fermi surface

No doping from f -electrons
Small Fermi surface

Charge reservoir model



Correlation splitting

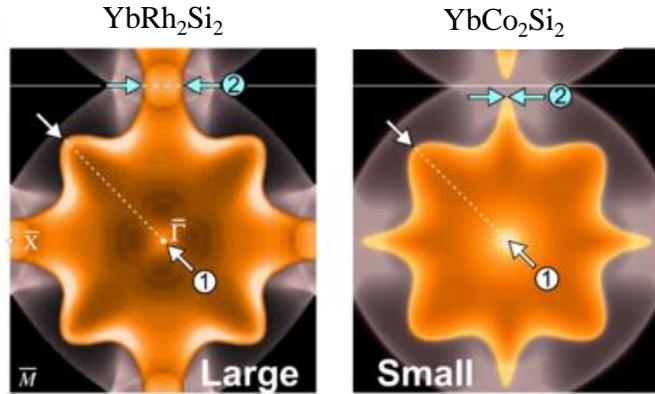


- one rare-earth atom per site
- Yb valency: $4f^{13}$

Kondo resonance: singlet formation between f -electron and conduction electrons

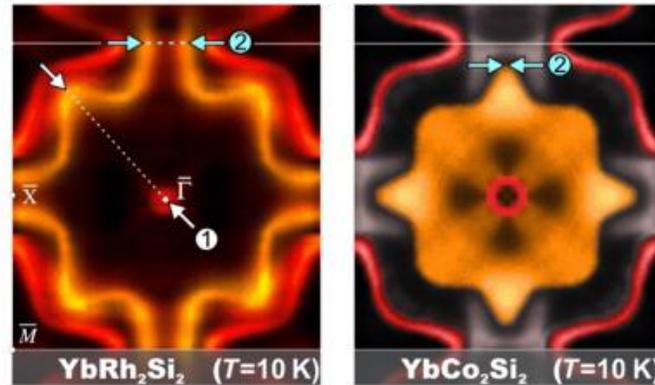
Doping with f -orbitals in Ce or Yb based compounds

Theoretical calculation



Kummer *et al.* (2015)

ARPES



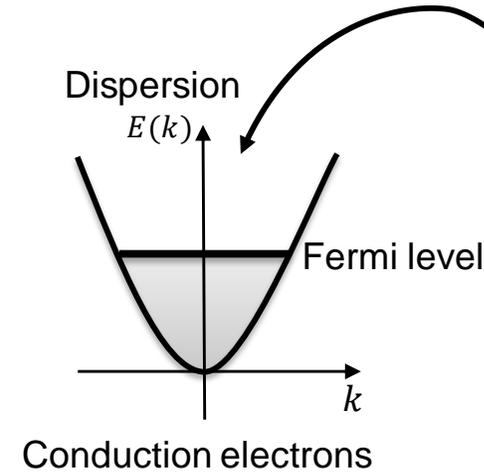
$T_{Kondo} = 25K < T = 10K$

$T = 10K > T_{Kondo} = 1K$

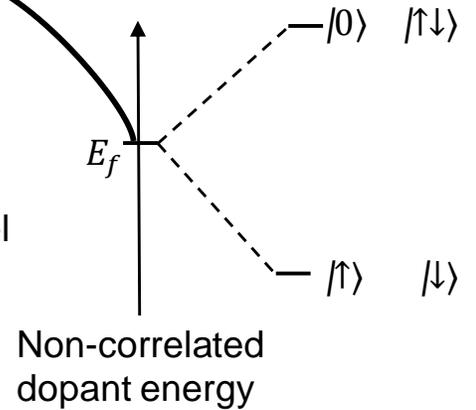
Doping from f -electrons
Enlarged Fermi surface

No doping from f -electrons
Small Fermi surface

Charge reservoir model



Correlation splitting



- one rare-earth atom per site
 - Yb valency: $4f^{13}$
- Question : what happens when the rare-earth atom is diluted to x atom per site ?**

Kondo resonance: singlet formation between f -electron and conduction electrons

Kondo alloy model

Kondo Hamiltonian: $H = H_{e\text{-cond}} + J_K \sum_i \vec{S}_i \cdot \vec{\sigma}_i$

Conduction electrons

electronic bandwidth W
electronic filling n_c
"free" density of states $\rho_0 \propto 1/W$

Quantum spins 1/2

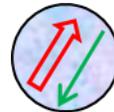
Kondo Interaction (antiferromagnetic)
between impurities and electrons
concentration x per site

3 relevant parameters: n_c , x , and J_K/W

Energy scales emerge at low temperature:

- The Kondo temperature T_K (crossover) characterizing the formation of local singlets

$$T_K \propto \exp(-1/J_K \rho_0)$$



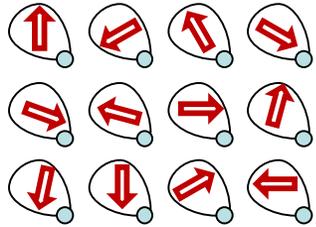
Numerical method : adaptation of the DMFT

- General scheme A.Georges *et al.*, RMP'96

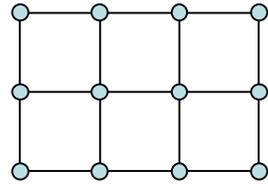
Model defined on a lattice

Local model: one effective site

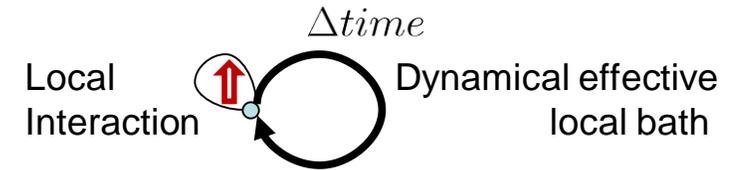
Local interactions & Interactions with neighbors



Kondo Interaction

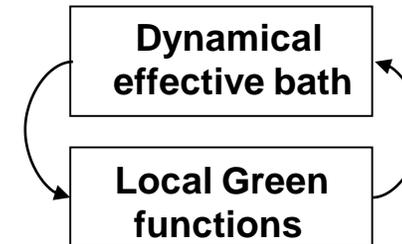


conduction e^-



System of equations to solve:

Resolution of the effective single site model



Self-consistent DMFT relation

Local action

$$S_{loc} = \sum_{\sigma} \int_0^{\beta} d\tau \int_0^{\beta} d\tau' c_{0\sigma}^{\dagger}(\tau) \Delta(\tau - \tau') c_{0\sigma}(\tau') + J_K \int_0^{\beta} d\tau \vec{S}_0(\tau) \cdot \vec{\sigma}_0(\tau)$$

Local Green's function

$$G_{loc}(\omega) = \sum_{\mathbf{k}} \frac{1}{\omega - \varepsilon_{\mathbf{k}} - \Delta(\omega) - 1/G_{loc}(\omega)}$$

ARPES signal

$$A(\mathbf{k}, \omega = 0) = -\frac{1}{\pi} \text{Im}\{G(\mathbf{k}, \omega = 0)\}$$

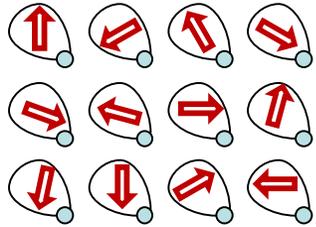
Numerical method : adaptation of the DMFT

- General scheme A.Georges *et al.*, RMP'96

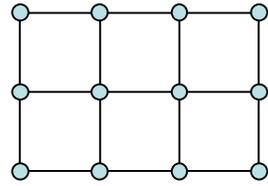
Model defined on a lattice

Local model: one effective site

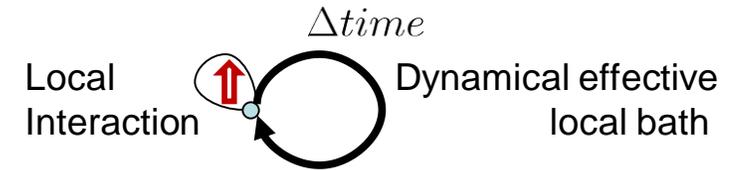
Local interactions & Interactions with neighbors



Kondo Interaction

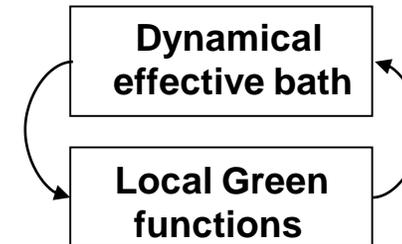


conduction e^-



System of equations to solve:

Resolution of the effective single site model



Self-consistent DMFT relation

- Binary Kondo alloys : matrix DMFT $A_x B_{1-x} [\dots]$
 Burdin *et al.*, PRB'07, PRL'13, '19 Poudel *et al.* JMMM'20 Poudel *et al.* arXiv'21

Kondo effective site (atom A):



effective site atom B:



Locally, the Kondo interaction is treated in the mean-field « slave boson » approximation

Angle resolved photo-emission spectroscopy

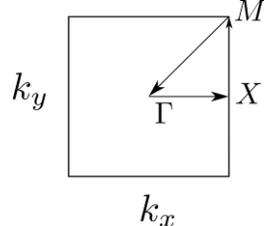
Burdin *et al.* PRL'13 Poudel *et al.* arXiv'21

Strong $T_K/W \approx 0.1$:

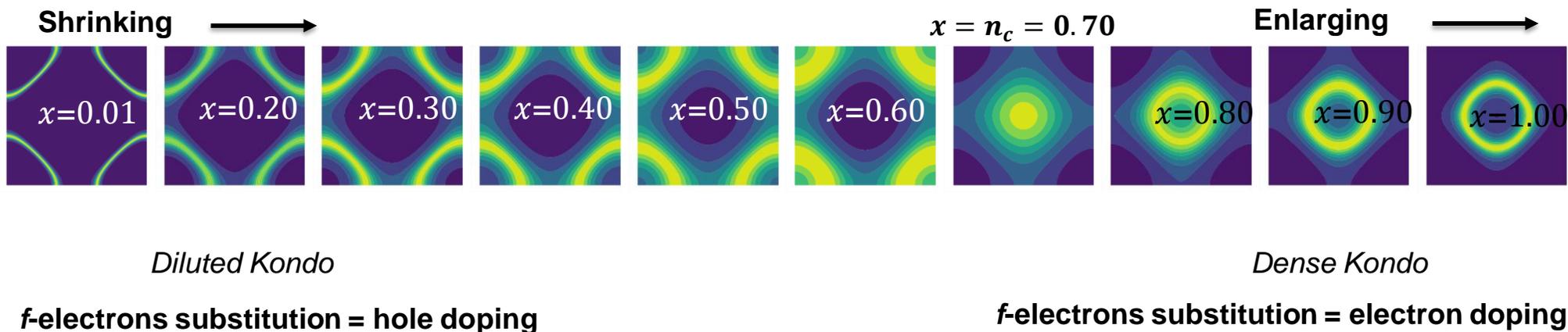
x : concentration of f -electrons atoms

n_c : electronic filling

Fermi surface



Square lattice
Brillouin zone



A Lifshitz-like transition is observed at $x = n_c$

Angle resolved photo-emission spectroscopy

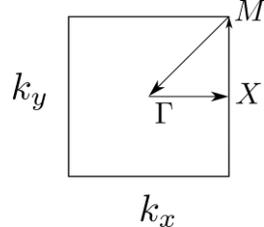
Burdin *et al.* PRL'13 Poudel *et al.* arXiv'21

Strong $T_K/W \approx 0.1$:

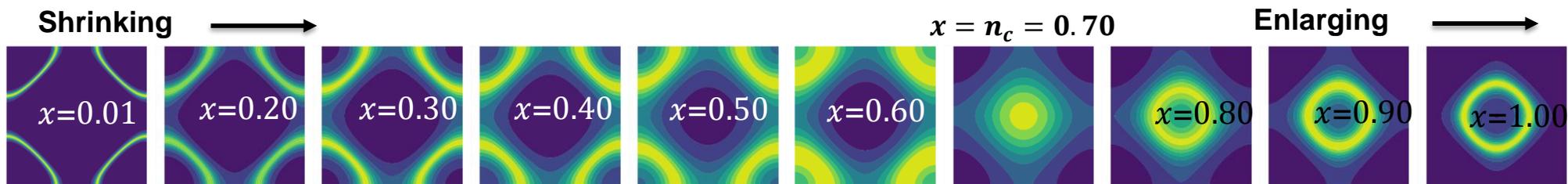
x : concentration of f-electrons atoms

n_c : electronic filling

Fermi surface



Square lattice
Brillouin zone



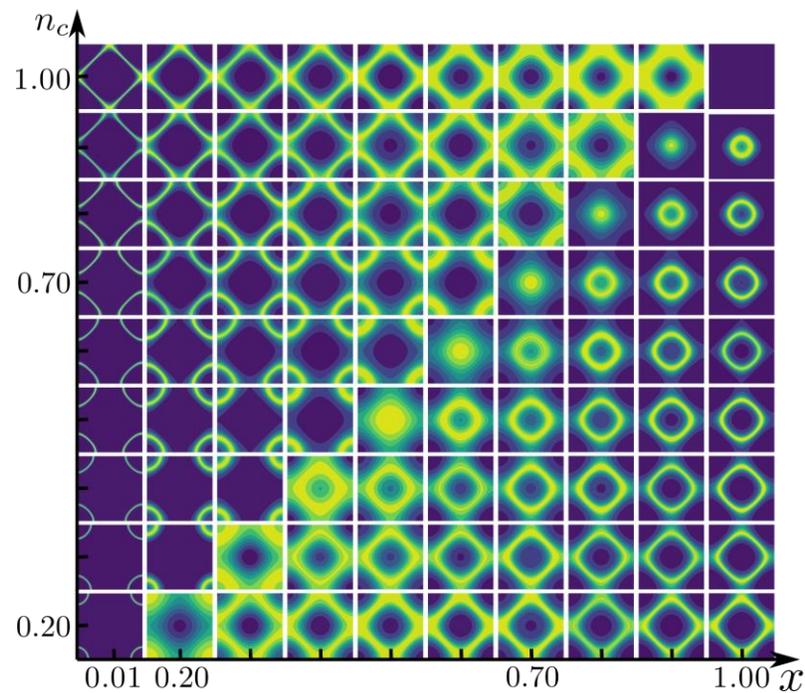
Diluted Kondo

Dense Kondo

f-electrons substitution = hole doping

f-electrons substitution = electron doping

A Lifshitz-like transition is observed at $x = n_c$

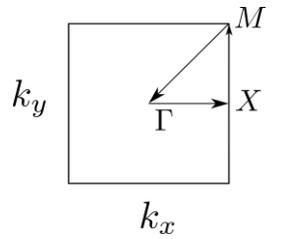


Angle resolved photo-emission spectroscopy

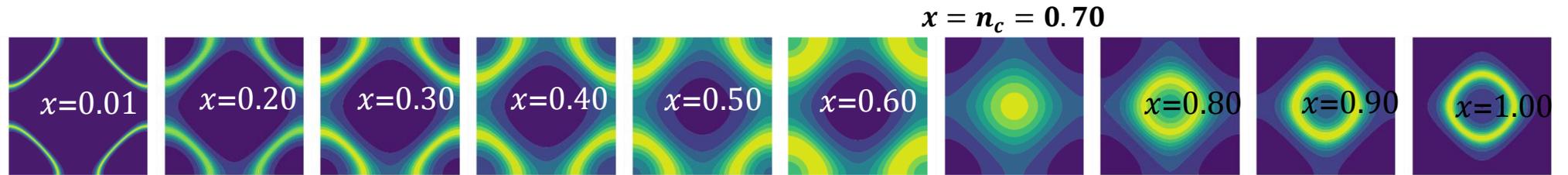
Burdin *et al.* PRL'13 Poudel *et al.* arXiv'21

Strong $T_K/W \approx 0.1$:

x : concentration of f-electrons atoms
 n_c : electronic filling



Square lattice
Brillouin zone



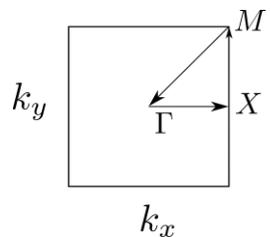
Angle resolved photo-emission spectroscopy

Burdin *et al.* PRL'13 Poudel *et al.* arXiv'21

x : concentration of f-electrons atoms

n_c : electronic filling

$x = n_c = 0.70$



Square lattice
Brillouin zone

Weak $T_K/W \approx 0.01$:

$x = n_c = 0.70$



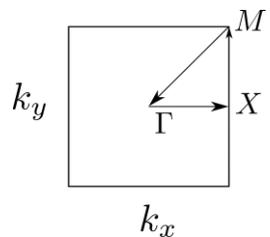
Angle resolved photo-emission spectroscopy

Burdin *et al.* PRL'13 Poudel *et al.* arXiv'21

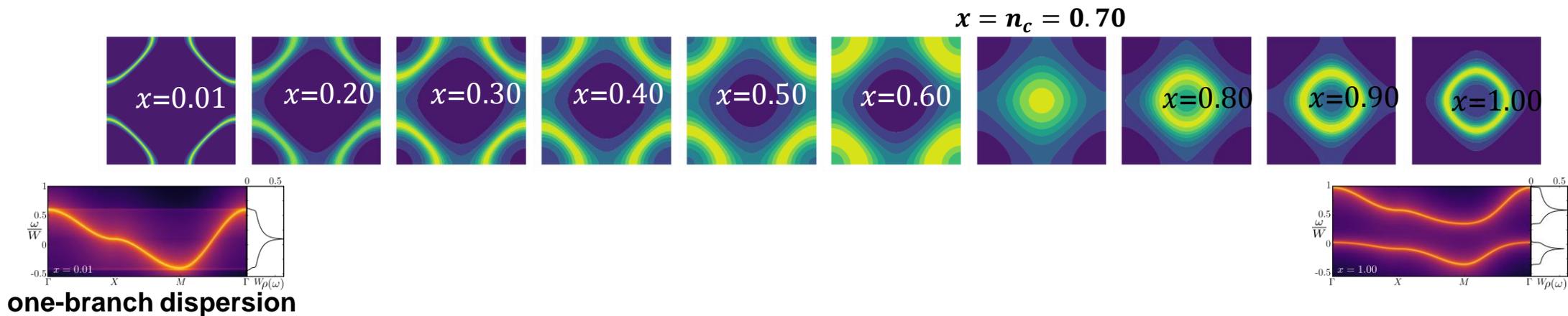
Strong $T_K/W \approx 0.1$:

x : concentration of f-electrons atoms

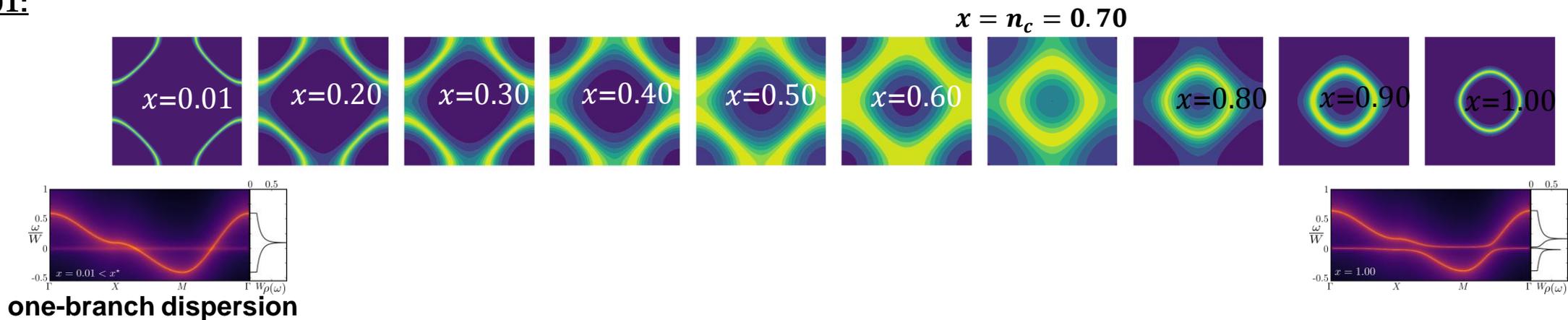
n_c : electronic filling



Square lattice
Brillouin zone



Weak $T_K/W \approx 0.01$:



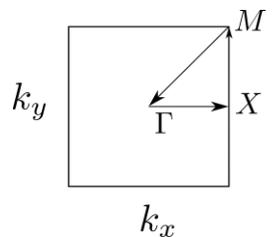
Angle resolved photo-emission spectroscopy

Burdin *et al.* PRL'13 Poudel *et al.* arXiv'21

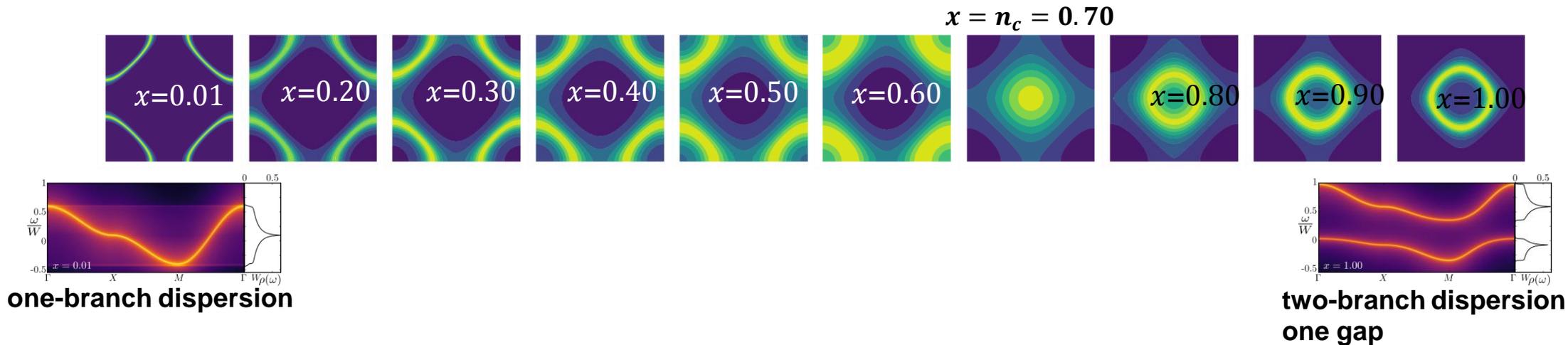
Strong $T_K/W \approx 0.1$:

x : concentration of f-electrons atoms

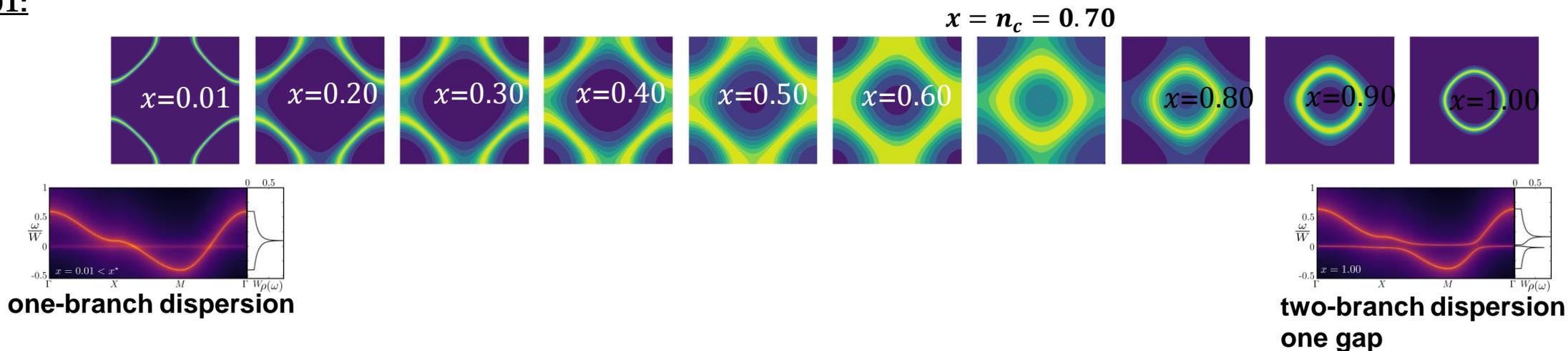
n_c : electronic filling



Square lattice
Brillouin zone



Weak $T_K/W \approx 0.01$:

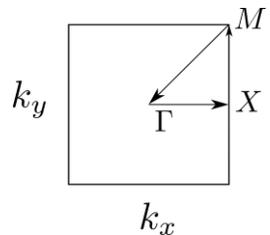


Angle resolved photo-emission spectroscopy

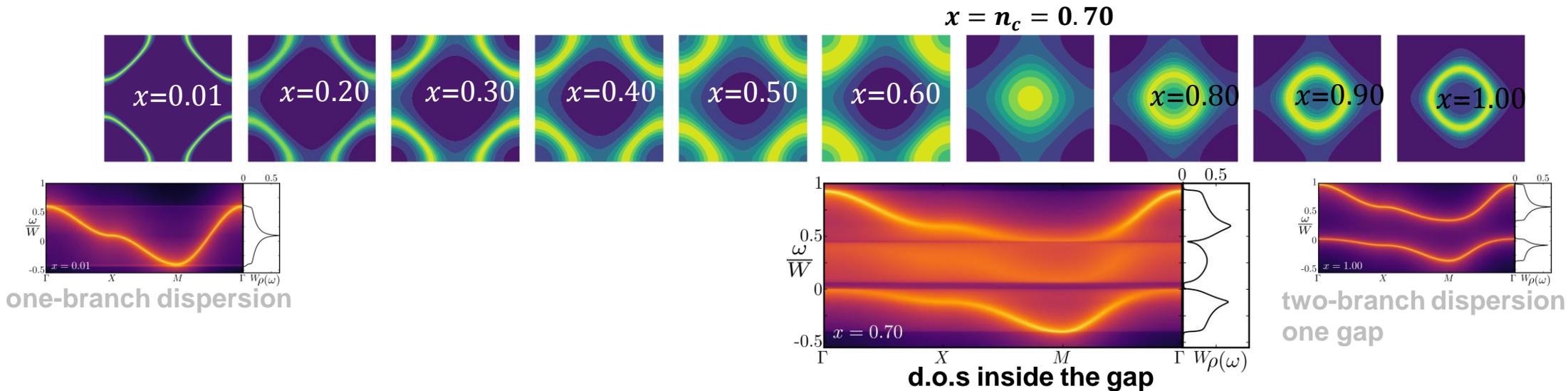
Burdin *et al.* PRL'13 Poudel *et al.* arXiv'21

Strong $T_K/W \approx 0.1$:

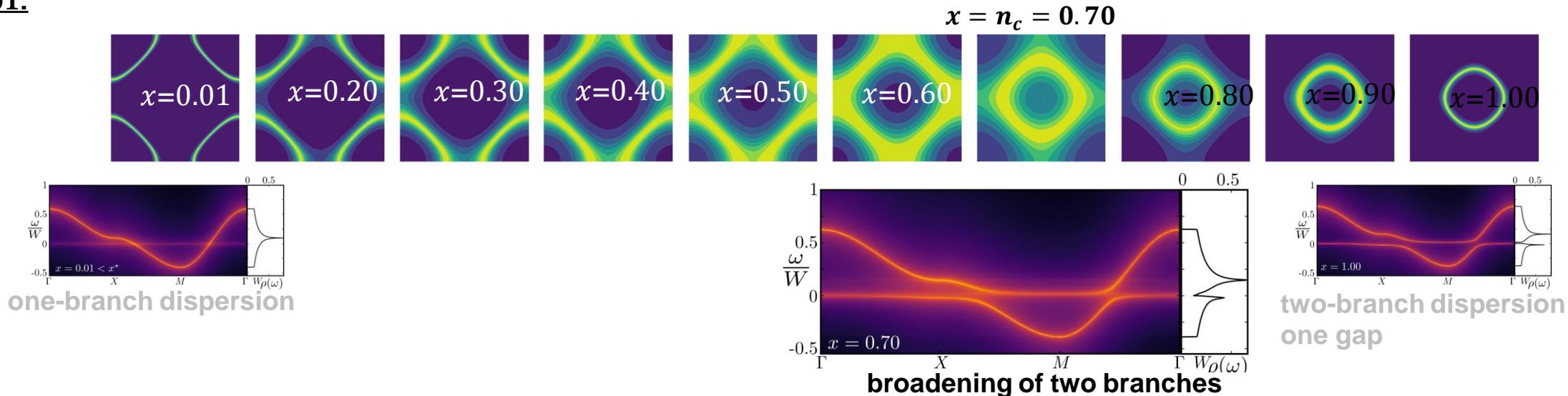
x : concentration of f-electrons atoms
 n_c : electronic filling



Square lattice
Brillouin zone



Weak $T_K/W \approx 0.01$:

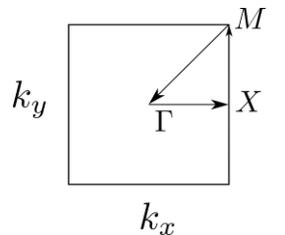


Angle resolved photo-emission spectroscopy

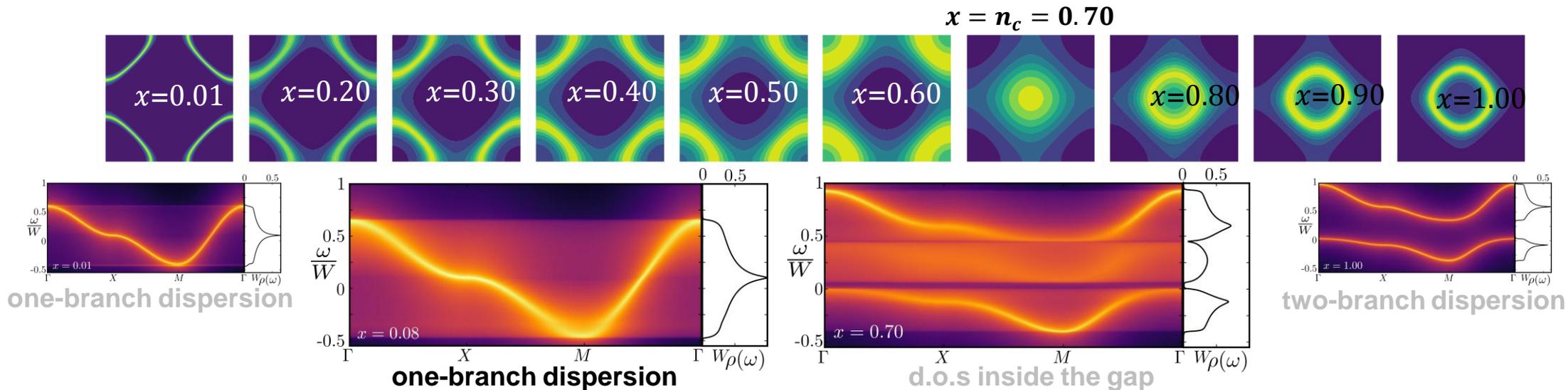
Burdin *et al.* PRL'13 Poudel *et al.* arXiv'21

Strong $T_K/W \approx 0.1$:

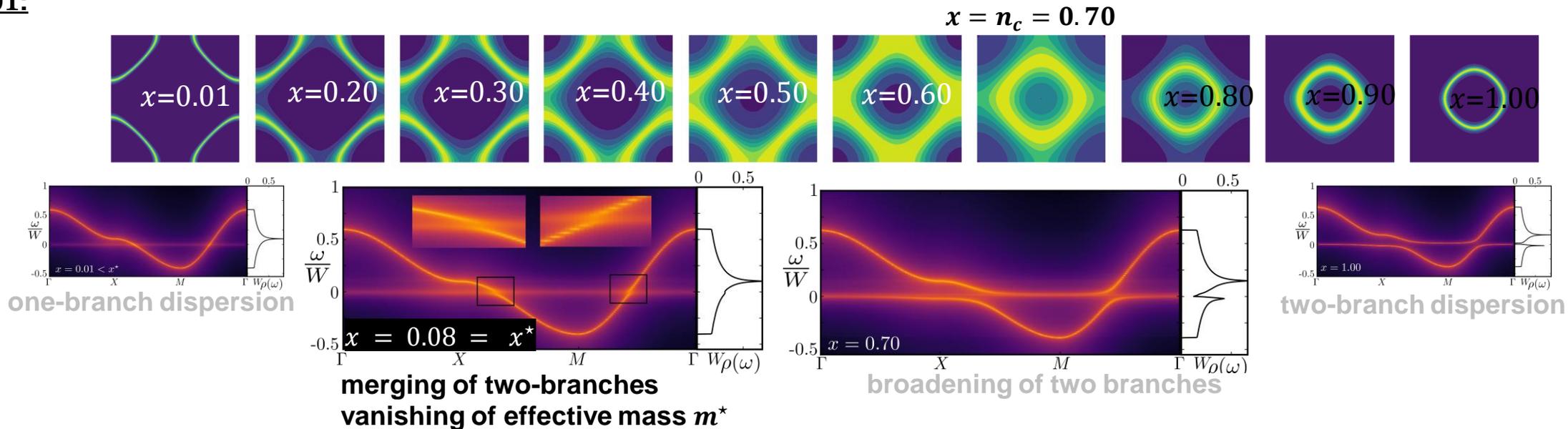
x : concentration of f-electrons atoms
 n_c : electronic filling



Square lattice
Brillouin zone



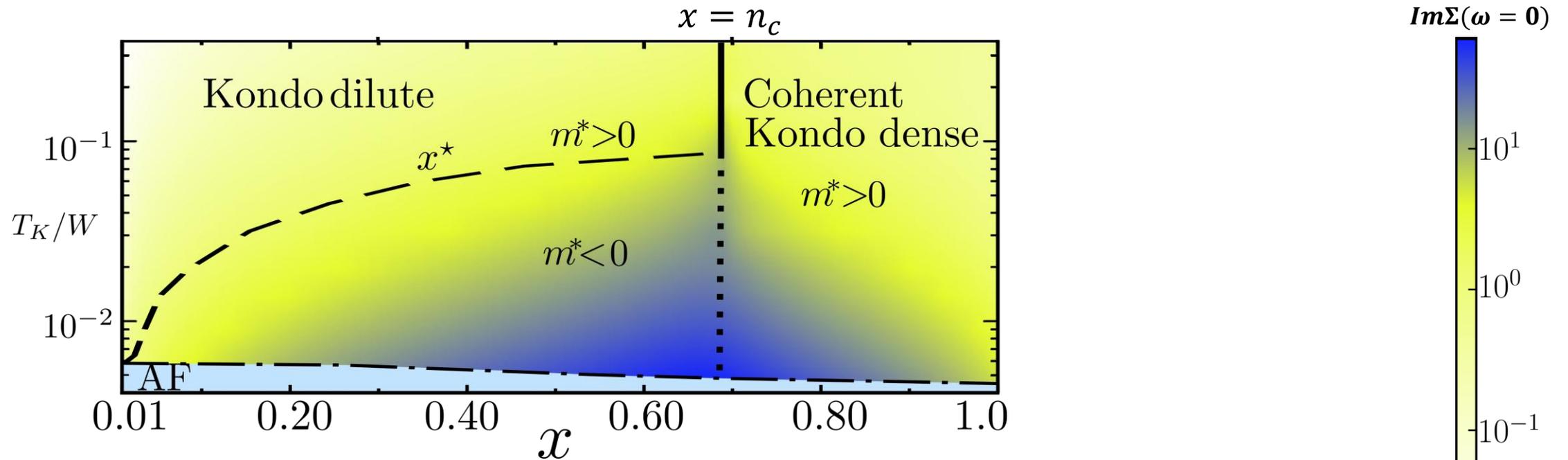
Weak $T_K/W \approx 0.01$:



Phase diagrams of Kondo alloys

Poudel *et al.* arXiv'21

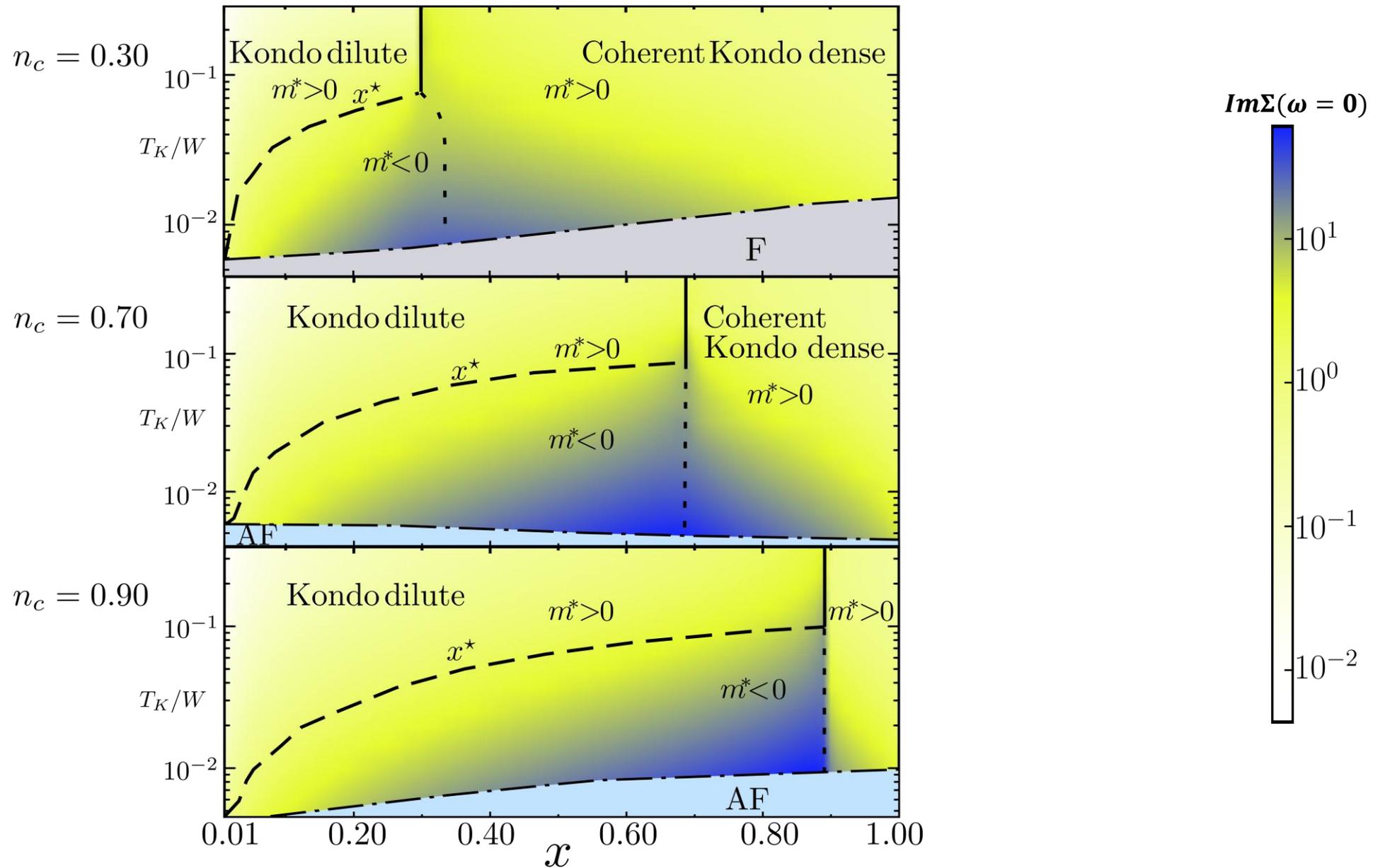
Effective mass $m^* = \partial \text{Re}\Sigma(\omega)/\partial \omega|_{\omega=0}$



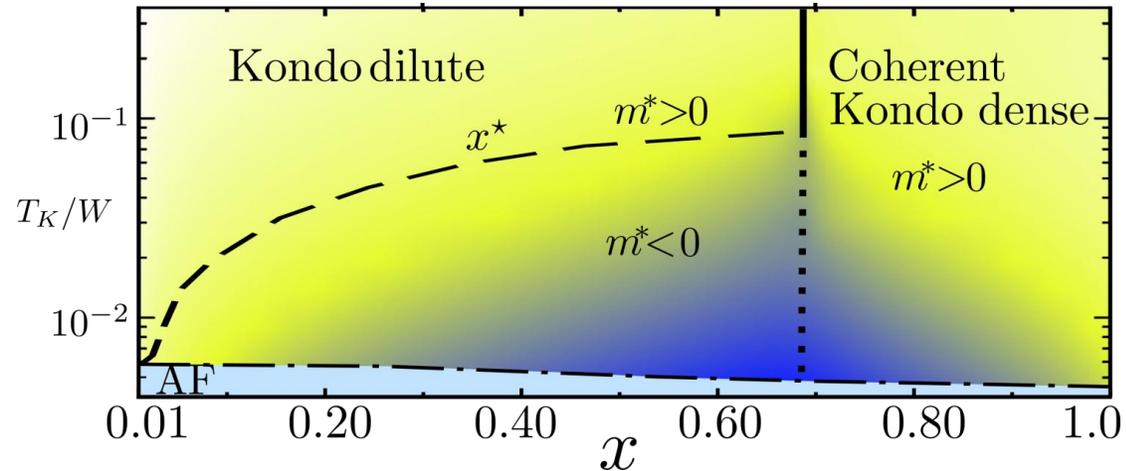
- $x = n_c$: Lifshitz-like transition for strong T_K
Crossover for intermediate T_K with pseudogap
- $x = x^*$: Merging of two branches in ARPES dispersion
Vanishing of effective mass m^*
- Shortened finite life-time of quasi particle around $x = n_c$
- Magnetic ordered phase dominates the phase diagrams at weak T_K

Phase diagrams of Kondo alloys

Poudel *et al.* arXiv'21



Conclusions, perspectives and acknowledgement



- **A Lifshitz-like transition is observed in Kondo alloys at strong coupling for $x = n_c$**
- **Another transition is observed at $x = x^*$ in Kondo alloys at intermediate coupling with $m^* = 0$**
- **This transition is « unusual » but experimental signatures are expected:**
 - Pseudo gap for $x > n_c$ versus normal metal for $x < n_c$
 - Particule versus hole excitations
 - ARPES signatures are expected
- **Acknowledgement:**

I would like to thank to Sébastien Burdin for his daily guidance, and Gertrud Zwicky, Christoph Geibel and Claudine Lacroix for their precious remarks.

Thank you for your attention

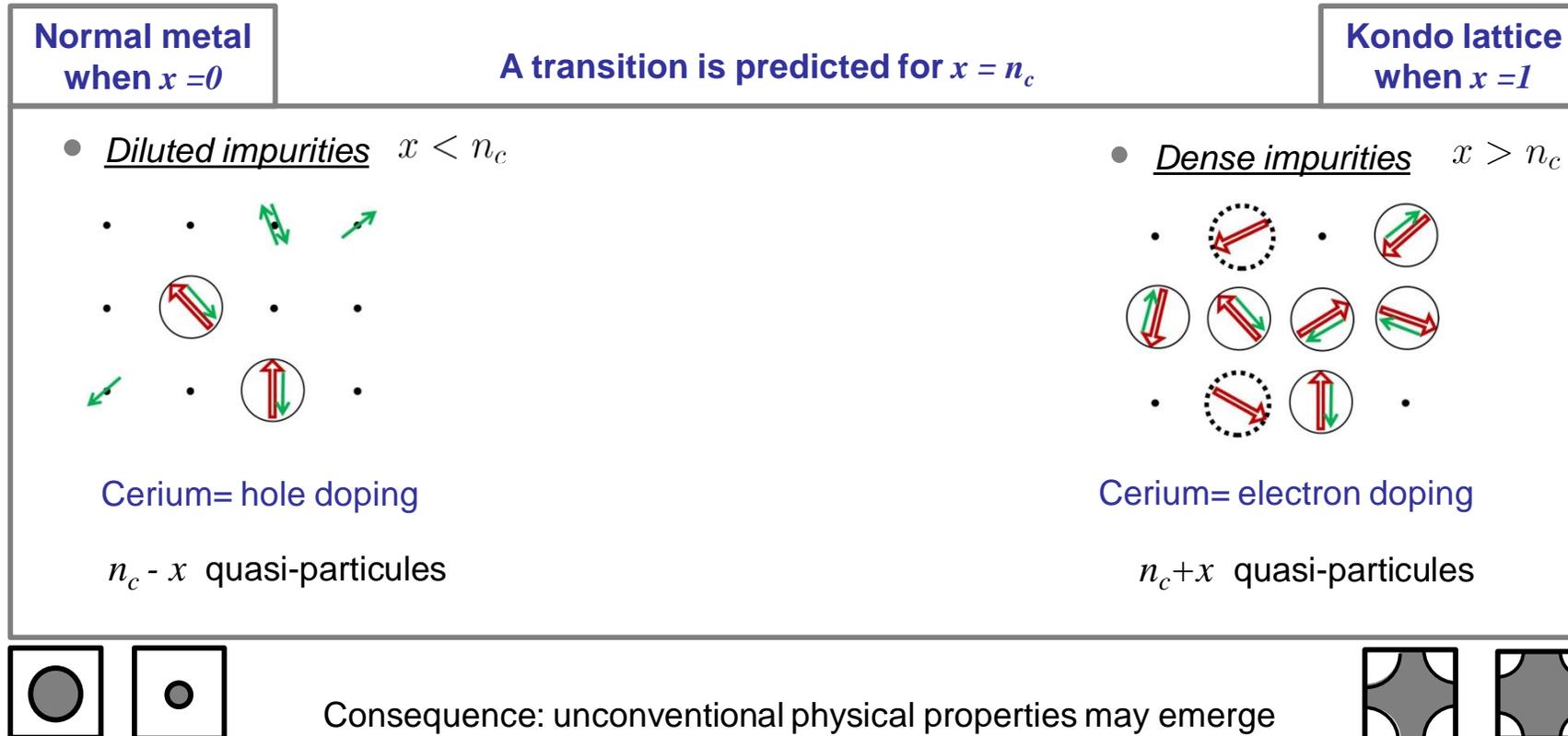
Breakdown of coherence in Kondo alloys

Burdin et al., PRB'2007 Burdin et al., PRL'2013 José-Luiz Ferreira thesis '2016 Burdin et al. 2019

Systems: rare earth alloys of the kind $Ce_xLa_{1-x}[\dots]$

x electrons $4f^1$ from Cerium (Kondo magnetic impurities)

n_c conduction electrons from other orbitals



First question: Is this transition still present at small Kondo coupling?

Kondo alloys

Prototype example of system: **metal + quantum magnetic impurities**

Kondo Hamiltonian: $H = H_{e\text{-cond}} + J_K \sum_i \vec{S}_i \cdot \vec{\sigma}_i$

Conduction electrons
“free” density of states ρ_0

Quantum spins 1/2

Kondo Interaction (antiferromagnetic)
between impurities and electrons

Several energy scales emerge at low temperature, including:

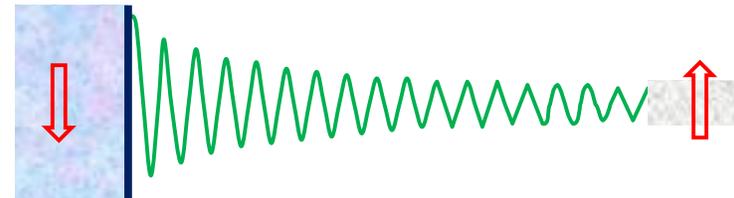
- The Kondo temperature T_K (crossover)
characterizing the formation of local singlets



$$T_K \propto \exp(-1/J_K \rho_0)$$



- The RKKY magnetic interaction energy



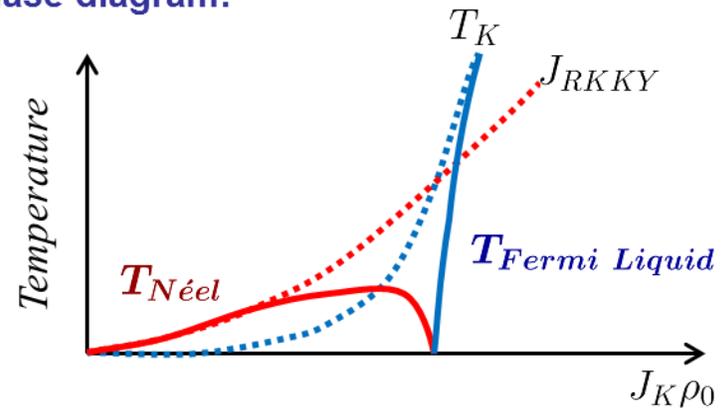
$$J_{RKKY} \propto J_K^2$$

Kondo alloys: effect of RKKY interaction

Poudel *et al.* '20 (to appear in JMMM)

Doniach'77

Phase diagram:



- $T_K \propto \exp(-1/J_K \rho_0)$
- $J_{RKKY} \propto J_K^2$

Kondo alloys: effect of RKKY interaction

Poudel *et al.* '20 (to appear in JMMM)

